

UNITED STATES DEPARTMENT OF COMMERCE Patent and Trademark Office

NOTICE OF ALLOWANCE AND ISSUE FEE DUE

MM41/0929

ANDREW S NEELY LUEDERA NEELY AND GRAHAM PO BOX 1871 KNOXVILLE IN 37901

APPLICATION NO.	FILING DATE	TOTAL CLAIR	MS EXAMINER AND GR	EXAMINER AND GROUP ART UNIT		
08/810 482	02/25/97	023	ASSOUAD. P	2057	09/29/98	
First Named CANADA.		RON	ALD G.			

TITLE OF INVENTION NO HELD DATA COLLECTOR AND ANALYZER SYSTEM

ATTY'S DOCKET NO.	CLASS-SUBCLASS	BATCH NO.	APPLN. TYPE	SMALL ENTITY	FEE DUE	DATE DUE
0 48545.CT	702-190	.000 J32	UTILITY	YES	\$660.00	12/29/98

THE APPLICATION IDENTIFIED ABOVE HAS BEEN EXAMINED AND IS ALLOWED FOR ISSUANCE AS A PATENT. PROSECUTION ON THE MERITS IS CLOSED.

THE ISSUE FEE MUST BE PAID WITHIN <u>THREE MONTHS</u> FROM THE MAILING DATE OF THIS NOTICE OR THIS APPLICATION SHALL BE REGARDED AS ABANDONED. <u>THIS STATUTORY PERIOD CANNOT BE EXTENDED.</u>

HOW TO RESPOND TO THIS NOTICE:

- I. Review the SMALL ENTITY status shown above. If the SMALL ENTITY is shown as YES, verify your current SMALL ENTITY status:
 - A. If the status is changed, pay twice the amount of the FEE DUE shown above and notify the Patent and Trademark Office of the change in status, or
 - B. If the status is the same, pay the FEE DUE shown above.
- If the SMALL ENTITY is shown as NO:
- A. Pay FEE DUE shown above, or
- B. File verified statement of Small Entity Status before, or with, payment of 1/2 the FEE DUE shown above.
- II. Part B-Issue Fee Transmittal should be completed and returned to the Patent and Trademark Office (PTO) with your ISSUE FEE. Even if the ISSUE FEE has already been paid by charge to deposit account, Part B Issue Fee Transmittal should be completed and returned. If you are charging the ISSUE FEE to your deposit account, section "4b" of Part B-Issue Fee Transmittal should be completed and an extra copy of the form should be submitted.
- III. All communications regarding this application must give application number and batch number.

 Please direct all communications prior to issuance to Box ISSUE FEE unless advised to the contrary.

IMPORTANT REMINDER: Utility patents issuing on applications filed on or after Dec. 12, 1980 may require payment of maintenance fees. It is patentee's responsibility to ensure timely payment of maintenance fees when due.

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DE APPLICATION NO. DEILING DATE CANADA FIRST NAMED INVENTOR R 4 AFT CRINEY: DOCKET NO.

MM41/0929

ANDREW S NEELY LUEDEKA NEELY AND GRAHAM PO BOX 1871 KNOXVILLE TN 37901 ASSOUAD, **EXAMINER**

28AR7 UNIT

PAPER NUMBER

09/29/9

DATE MAILED:

Please find below and/or attached an Office communication concerning this application or proceeding.

Commissioner of Patents and Trademarks

Notice of Allowability

Application No. 08/810,132

Applicant(s)

Canada et al.

Examiner

Patrick Assouad

Group Art Unit 2857



All claims being allowable, PROSECUTION ON THE MERITS IS (OR REMAINS) CLOSED in this application. If not included herewith (or previously mailed), a Notice of Allowance and Issue Fee Due or other appropriate communication will be mailed in due course.
∑ This communication is responsive to <u>Amend. filed 9/14/98</u> .
X The allowed claim(s) is/are 32 and 35-56
X The drawings filed on <u>Feb 25, 1997</u> are acceptable.
☐ Acknowledgement is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d).
☐ All ☐ Some* ☐ None of the CERTIFIED copies of the priority documents have been
received.
received in Application No. (Series Code/Serial Number)
received in this national stage application from the International Bureau (PCT Rule 17.2(a)).
*Certified copies not received:
Acknowledgement is made of a claim for domestic priority under 35 U.S.C. § 119(e).
A SHORTENED STATUTORY PERIOD FOR RESPONSE to comply with the requirements noted below is set to EXPIRE THREE MONTHS FROM THE "DATE MAILED" of this Office action. Failure to timely comply will result in ABANDONMENT of this application. Extensions of time may be obtained under the provisions of 37 CFR 1.136(a).
□ Note the attached EXAMINER'S AMENDMENT or NOTICE OF INFORMAL APPLICATION, PTO-152, which discloses that the oath or declaration is deficient. A SUBSTITUTE OATH OR DECLARATION IS REQUIRED.
Applicant MUST submit NEW FORMAL DRAWINGS
because the originally filed drawings were declared by applicant to be informal.
including changes required by the Notice of Draftsperson's Patent Drawing Review, PTO-948, attached hereto or to Paper No
including changes required by the proposed drawing correction filed on, which has been approved by the examiner.
including changes required by the attached Examiner's Amendment/Comment.
Identifying indicia such as the application number (see 37 CFR 1.84(c)) should be written on the reverse side of the drawings. The drawings should be filed as a separate paper with a transmittal lettter addressed to the Official Draftsperson.
☐ Note the attached Examiner's comment regarding REQUIREMENT FOR THE DEPOSIT OF BIOLOGICAL MATERIAL.
Any response to this letter should include, in the upper right hand corner, the APPLICATION NUMBER (SERIES CODE/SERIAL NUMBER). If applicant has received a Notice of Allowance and Issue Fee Due, the ISSUE BATCH NUMBER and DATE of the NOTICE OF ALLOWANCE should also be included.
Attachment(s)
□ Notice of References Cited, PTO-892
Information Disclosure Statement(s), PTO-1449, Paper No(s).
■ Notice of Draftsperson's Patent Drawing Review, PTO-948
☐ Notice of Informal Patent Application, PTO-152
☐ Interview Summary, PTO-413
Examiner's Amendment/Comment Examiner's Comment Regarding Requirement for Deposit of Biological Material
Examiner's Statement of Reasons for Allowance Examiner's Statement of Reasons for Allowance
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Art Unit: 2857

1. An examiner's amendment to the record appears below. Should the changes and/or additions be unacceptable to applicant, an amendment may be filed as provided by 37 CFR 1.312. To ensure consideration of such an amendment, it MUST be submitted no later than the payment of the issue fee.

Authorization for this examiner's amendment was given in a telephone interview with Andrew Neely on 9/24/98.

2. The application has been amended as follows:

In claim 36, line 1, "33" has been changed to --32--.

In claim 37, line 1, "33" has been changed to --32--.

- 3. The following is an examiner's statement of reasons for allowance:
- A) The terminal disclaimer filed on 4/13/98 disclaiming the terminal portion of any patent granted on this application which would extend beyond the expiration date of US Patent 5,633,811 has been reviewed and is accepted. The terminal disclaimer has been recorded.
- B) The new IDS filed 9/14/98 was filed in response to the problems/errors cited by the Examiner with respect to the IDS filed 4/13/98; certain documents were believed to be improperly cited. See the Examiner's discussion of this in the Final Rejection mailed 7/13/98 and Applicant's Response filed 9/14/98. The instant claimed invention is not suggested or disclosed, either or alone or in combination, in the new art of record considered by the Examiner,

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particularly, the claimed "DMA", the "RAM formatter", the "sigma-delta modulator", the "optional transformer", the "first and second DSPs", and the "first and second ADCs...sampling at high and low sample rates [respectively]" of independent claims 32, 38, 41, 42, 51, and 53 respectively, in combination with the many other claimed elements of a "data collector and analyzer system".

Any comments considered necessary by applicant must be submitted no later than the payment of the issue fee and, to avoid processing delays, should preferably accompany the issue fee. Such submissions should be clearly labeled "Comments on Statement of Reasons for Allowance."

4. Any inquiry concerning this communication or earlier communications from the examiner should be directed to Patrick Assouad whose telephone number is (703) 305-3811.

laul ~

9/24/98

PJA

September 24, 1998

John Barlow
Supervisory Patent Examiner
Technology Center 2800